



IFW

Docket No.: R2184.0494/P494  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Masaki Ninomiya et al.

Application No.: 10/579,034

Confirmation No.: 4395

Filed: September 18, 2006

Art Unit: 2627

For: RECORDING METHOD, RECORDER AND  
RECORDING MEDIUM

Examiner: Not Yet Assigned

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicants, a copy of which is attached hereto, contains errors in the number of claims. The total of numbers of claims submitted should be listed as 26 (not 21), and the number of independent claims totals 10 (not 9).

Applicants additionally request that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

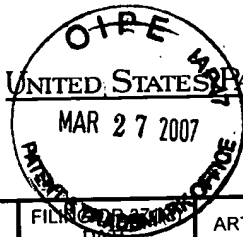
Dated: March 27, 2007

Respectfully submitted,

By 

Mark J. Thronson

Registration No.: 33,082  
DICKSTEIN SHAPIRO LLP  
1825 Eye Street, NW  
Washington, DC 20006-5403  
(202) 420-2200  
Attorney for Applicant



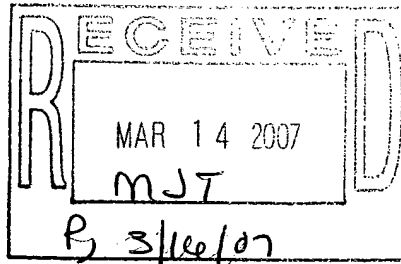
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/579,034	09/18/2006	2627	2790	R2184.0494/P494	21 26	9 10

CONFIRMATION NO. 4395

24998  
 DICKSTEIN SHAPIRO LLP  
 1825 EYE STREET NW  
 Washington, DC 20006-5403



## FILING RECEIPT



\*OC000000022795709\*

Date Mailed: 03/12/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Masaki Ninomiya, Kanagawa, JAPAN; ✓  
 Takanobu Matsuba, Kanagawa, JAPAN; ✓

**Power of Attorney:** The patent practitioners associated with Customer Number 24998:

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/10649 07/27/2004 -

## Foreign Applications

JAPAN 2003-392206 11/21/2003 ✓  
 JAPAN 2004-157360 05/27/2004 ✓

If Required, Foreign Filing License Granted: 03/07/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/579,034**

Projected Publication Date: 06/14/2007

Non-Publication Request: No

Early Publication Request: No

**Title**

Recording method, recording apparatus, and storage medium ✓

**Preliminary Class**

369

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Atty Docket No.: R2184.0494/P494

Inventor: Masaki Ninomiya et al.

Application No.: Not Yet Assigned

Filing Date: Concurrently Herewith

Title: RECORDING METHOD, RECORDER AND RECORDING MEDIUM

**Documents Filed:**

Transmittal Letter to the United States  
Designated-Elected Office (3 pages)

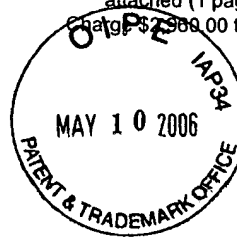
WO 2005/050631 (Coversheet)(2 pages)

Specification (44 pages)  
Drawings (16 sheets)

Written Opinion (3 pages)

Intl. Search Report (7 pages)  
Information Disclosure Statement (3 pages)  
IDS (Citation) by Applicant (1 page)  
Copies of IDS Citations (2 References)

Payment by credit card. Form PTO-2038 is  
attached (1 page)  
Charge \$2,960.00 to credit card



Via:

Sender's Initials: MJT/djp

Date: May 10, 2006

M.J.

Atty Docket No.: R2184.0494/P494

Inventor: Masaki Ninomiya et al.

Application No.: Not Yet Assigned

Filing Date: Concurrently Herewith

Title: RECORDING METHOD, RECORDER AND RECORDING MEDIUM

**Documents Filed:**

Transmittal Letter to the United States  
Designated-Elected Office (3 pages)

WO 2005/050631 (Coversheet)(2 pages)

Specification (44 pages)  
Drawings (16 sheets)

Written Opinion (3 pages)

Intl. Search Report (7 pages)  
Information Disclosure Statement (3 pages)  
IDS (Citation) by Applicant (1 page)  
Copies of IDS Citations (2 References)

Payment by credit card. Form PTO-2038 is  
attached (1 page)  
Charge \$2,960.00 to credit card

10/579034

IAP7 Rec'd PCT/PTO 10 MAY 2006

Via:

Sender's Initials: MJT/djp

Date: May 10, 2006



PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021  
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/JP2004/010649		ATTORNEY'S DOCKET NUMBER R2184.0494/P494	
20. <input checked="" type="checkbox"/> Other items or information: WO 2005/050631 (Cover sheets), Intl. Search Report, Written Opinion					
The following fees have been submitted					
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))				CALCULATIONS	PTO USE ONLY
				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0				\$ 200.00	
All other situations.....\$200					
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))					
If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100				\$ 400.00	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400					
All other situations.....\$500					
TOTAL OF 21, 22 and 23 =				\$ 900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
60 - 100 =	/50 =		x \$250.00	\$	
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	26 - 20 =	6	x 50.00	300.00	
Independent claims	10 - 3 =	7	x 200.00	1,400.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 360.00	360.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 2,960.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 2,960.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 2,960.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
				\$	
TOTAL FEES ENCLOSED =				\$ 2,960.00	
				Amount to be refunded:	\$
				Amount to be charged	\$